

Electronic Acknowledgement Receipt

EFS ID:	5268567
Application Number:	10753069
International Application Number:	
Confirmation Number:	5428
Title of Invention:	Systems and methods for trading actively managed funds
First Named Inventor/Applicant Name:	Clifford J. Weber
Customer Number:	22930
Filer:	Michael Jay Stimson/Catherine Zinn
Filer Authorized By:	Michael Jay Stimson
Attorney Docket Number:	00322.0008.CPUS01
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Supplemental Response or Supplemental Amendment	SupplementalAmendment.pdf	470927 ad2a9b661dd0068822852634424588e715354a36	no	22

Warnings:

Information:

2	Affidavit/Dec/Exhibit after Notice of Appeal	DeclarationofCharlesABaker.pdf	206190 12b1ffd3afa3a14dad1b6f6eb973da2dc51261f0	no	8
Warnings:					
Information:					
3	Affidavit/Dec/Exhibit after Notice of Appeal	ExhsA-G.pdf	1473636 8c6fe56b14929f75c3acfdde78dc08370d08ca7f	no	48
Warnings:					
Information:					
4	Affidavit/Dec/Exhibit after Notice of Appeal	ExH-WSJ1-11-05.pdf	6827959 877b5d0bd09806f5123ff9350071625884483541	no	187
Warnings:					
Information:					
Total Files Size (in bytes):			8978712		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					